

Emery

1752



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Bruce B. Fitts, et al.)
Serial No.: 10/053,346) Group Art Unit: 1752
Filing Date: 01/18/2002) Examiner: Hoa Van Le
For: APPARATUS AND METHOD FOR)
ELECTROCHEMICAL CELL)
COMPONENTS)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

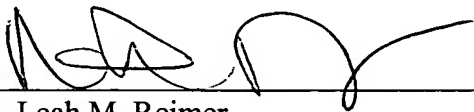
Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: 
Leah M. Reimer
Registration No. 39,341

Date: April 22, 2004
Customer No. 23,413
(860) 286-2929

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RGP-0072

In Re Application Of: **Bruce B. Fitts, et al.**

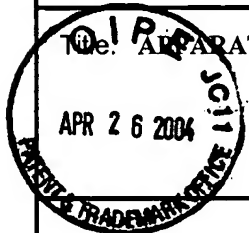
Serial No.
10/053,346

Filing Date
01/18/2002

Examiner
Hoa Van Le

Group Art Unit
1752

Title: **APPARATUS AND METHOD FOR ELECTROCHEMICAL CELL COMPONENTS**



Address to:
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P.O. Box 1450
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37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
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Group Art Unit

1752

APPARATUS AND METHOD FOR ELECTROCHEMICAL CELL COMPONENTS



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **06-1130** as described below.
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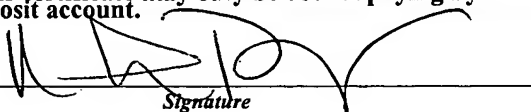
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Laura J. Nolan

Typed or Printed Name of Person Mailing Certificate

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Signature

Dated: **April 22, 2004**

Leah M. Reimer

Reg. No. 39,413

Customer No. 23,413

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CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
RGP-0072

In Re Application Of: **Bruce B. Fitts, et al.**

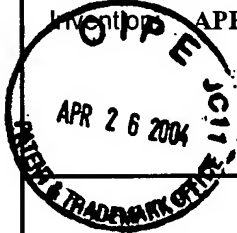
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Examiner
Hoa Van Le

Group Art Unit
1752

Invention: **APPARATUS AND METHOD FOR ELECTROCHEMICAL CELL COMPONENTS**



TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Signature

**Leah M. Reimer
Reg. No. 39,341
Customer No. 23,413
(860) 286-2929**

Dated: **April 22, 2004**

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)Applicant(s): **Bruce B. Fitts, et al.**

Docket No.

RGP-0072

Serial No.

10/053,346

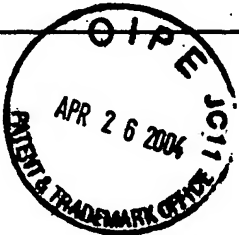
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Examiner

Hoa Van Le

Group Art Unit

1752Invention: **APPARATUS AND METHOD FOR ELECTROCHEMICAL CELL COMPONENTS**

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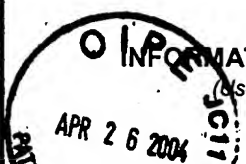
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 <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p>	ATTY DOCKET NO. RGP-0072	SERIAL NO. 10/053,346
	Bruce B. Fitts et al.	
	FILING 01/18/2002	GROUP 1752

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
✓	5 565 072	10/15/1996	Faita et al			
✓	5 750 197	05/12/1998	van Ooij et al.			
✓	6 103 413	08/15/2000	Hinton et al.			

FOREIGN PATENT DOCUMENTS


		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	✓	EP 0 975 039 A2	26.01.2000	Europe				
	✓	EP 1 035 608 A2	13.09.2000	Europe				
	✓	EP 1 107 340 A2	13.06.2001	Europe				
	✓	EP 1 227 531 A1	31.07.2002	Europe				
	✓	WO 00/63303	26.10.2000	International				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			International Search Report dated 15/03/2004 – International Application No. PCT/US02/19875, International Filing Date 18/01/2002

EXAMINER	DATE CONSIDERED
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<div style="text-align: center;">  <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p> </div>	ATTY DOCKET NO. RGP-0072	SERIAL NO. 10/053,346
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		✓ WO 01/18895 A1	15.03.2001	International				✓

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